



INSURANCE FRAUD INVESTIGATION DIVISION
Kentucky Department of Insurance
909 Leawood Drive, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
January 2013

<u>Fraud Statistics</u>	<u>Month/January</u>	<u>YTD</u>
Total Referrals Received.....	156	156
Closed Referrals.....	59	59
Open Cases (Investigations – includes prior years).....		322
Assigned Cases (Investigations).....	3	3
Closed Cases (Investigations).....	15	15
Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor.....		
Charges (40 felony, 0 misdemeanor)	40	40
Convictions (1 felony, 0 misdemeanor)	1	1
Restitution Ordered..... \$	29,017.77	29,017.77
Restitution Agreed..... \$.00	.00
Restitution Total..... \$	29,017.77	29,017.77
Investigation Expenses to Division		
Awarded..... \$	6,904.00	6,904.00
Collected..... \$	3,779.79	3,779.79
DOI Fines..... \$.00	.00
Administrative Subpoenas Issued.....	0	0
Non-Licensed Individuals.....	0	0
Licensed (active/inactive individuals).....	0	0
Subpoenas Received.....	2	2

Convictions Activity January 2013

April Sandoval – As a sub-contracting roofing company, Sandoval Roofing presented a false certificate of workers' compensation insurance to a partner company. Sandoval pleaded guilty to one count of fraudulent insurance acts greater than \$500, a class D felony. Sandoval was sentenced to three years, diverted for five years, and was ordered to pay \$26,897.77 in restitution, as well as \$150.50 in court costs.